

Colorado Secretary of State
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JUN 20 2017

ELECTIONS
SECRETARY OF STATE



Doc ID: 214719 Doc Date: 06/20/2017 # of Pgs: 007
Type: Personal Financial Disclosure (Private)
Cand/Comm: JARED S. POLIS

PERSONAL FINANCIAL DISCLOSURE STATEMENT

For annual filing requirements. File in accordance with the appropriate statutes

1-45-110(2)(a), 12-9-201(2)(h), 12-47.1-301(1)(g), 12-60-301(1)(g), 24-6-202, 24-51-207(4), 24-35-207(6), C.R.S.

Personal financial disclosure statements filed for ballot access purposes must be filed within 10 days of filing a candidate affidavit. Judges running for retention, judges appointed to a court of record, and candidates running for Regional Transportation District are only required to file their PFD on or before the January 10 following such retention, appointment, or election. A fine of \$50 per day will be assessed for late filings related to ballot access. Attach extra pages as necessary. [Art. XXVIII, Sec. 10 (2)(a), Colorado Constitution and 1-45-110, C.R.S.]

Name:	Jared S. Polis
Mailing Address (include city, state, and zip):	1888 Sherman Street, Suite 420 Denver, CO 80203
Business Phone:	303-285-9541
Residence Phone:	303-285-9541

Check (✓) the appropriate response(s)

I am filing this disclosure because:

- I am filling a vacancy. I am seeking election to office. This is my annual filing

I am filing as:

- Office Holder/Incumbent (OFFICE/DISTRICT NUMBER)
- Candidate (OFFICE/DISTRICT NUMBER)
- State Board/Agency/Commission Member (OFFICE)
- Judge (SUPREME COURT/COURT OF APPEALS/ or COUNTY or JUDICIAL DISTRICT)
- Other (OFFICE)

Name:	Jared S Polis
Office Sought:	Governor

INCOME:

List the names of any source(s) of income, including capital gains for yourself, your spouse, or minor children residing with you.

Source of Income (Name of Employer)	Recipient of Income (Individual receiving income-- Self, Spouse, Minor Children)
U.S. Congress, Dept. of the Treasury	Self
Schutz Grandchildren 2016 Trust	Minor Children
See attached	

ASSETS:

List the name of each business, insurance policy, or trust where there is a financial interest in excess of \$5000 for you, your spouse, or your minor children residing with you.

Name of Business, Insurance Company or Trust	Who is the person with this financial interest?
Jared Polis Qualified Blind Trust	Self
Schutz Grandchildren 2016 Trust	Minor children
SPS Studios Inc.	Self
Jovian Holdings Inc.	Self
K-New York Trust	Self
Pine 3-J Trust	Self
Jovian Holdings LLC	Self
Jovian Capital Holdings LLC	Jovian Holdings LLC/Self

List the LEGAL description (as shown on the books of the county assessor) of all real property in Colorado (including an option to buy) in which you have a direct or indirect interest with a fair market value in excess of \$5000. Property that must be listed includes residential, investment property, condominium, rental property and any mineral, water, coal, and rights to sand and gravel. STREET ADDRESSES DO NOT SATISFY STATUTORY REQUIREMENTS.

Legal Description of Property	Owner of Record
Unit 404 The Walnut Condominiums, Boulder, CO	Mountain Property II LLC
Sub Northwoods Condominiums Lot F-8 Block F, Vail CO	K-Colorado LLC
PT NE4 29 4 68/PT SE4 20-4-68 Lot B AMD REC EXEMPT RE-1730 (.02R) Berthoud, Weld County, CO	Mountain Property Improvement LLC
PT NE4 29-4-68/PT SE4 20-4-68 Lot A AMD REC EXEMPT RE-1730 (.52R) Berthoud, Weld County, CO	Mountain Property Improvement LLC
PT E2NE4 29-4-68 LOT B CORR AMD REC EXEMPT CORR AMRE-3418 EXC PT NE4 29-4-68 COM SE COR NE4 SEC 29 S88D37'W 1227.91' TO POB S88D37W 100.01' N00D39'E 10' N88D37'E 100.01' S00D39'E 10' TO POB Berthoud, Weld County, CO	Mountain Property Improvement LLC

Name:	Jared S Polis
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PT E2NE4 29-4-68 LOT A 2 ND CORR REC EXEMPT RE-3418 EXC COM SE COR NE4 SEC 29 S88D37'W 580' TO POB S88D37'W647.91' N00D39'W 5' N88D37'E 274.14' N86D32'E 274.94' N88D37'E 103.05' S13D55'E 15.55' TO POB Berthoud, Weld County, CO	Mountain Property Improvement LLC
TRACT 2059 & TRACT 3052-B BO TRACTS 19-1N-70 PER DEED 1039668 4/30/90 BCR, Boulder CO	Pine 3-J Trust - Pine Property Limited Partnership
Lot 3 COLORADO & SOUTHERN INDUSTRIAL PARK, Boulder, CO	Pine 3-J Trust - Pine Property Limited Partnership
E 170 FT OF N 50 FT OF LOT 5 LESS E 40 FT FOR ST & LOT 6 LESS E 40 FT FOR ST & E 20 FT LOT 7 SMITHS ADD TO BO, Boulder CO	Pine 3-J Trust - BWAY Property Limited Partnership
PT LOTS 5 & 7 SMITHS & LOT 1 BLK 2 MENLO PARK, Boulder, CO	Pine 3-J Trust - BWAY Property Limited Partnership
LOT 2 ALVIN NEW, Boulder, CO	K-Colorado LLC
LOT 1 GUNPARK WAREHOUSE Boulder, CO	Gunpark Property Limited Partnership
6455 Spine RD Boulder 80301-3677	Gunpark Property Limited Partnership/ Blue Mountain Arts Inc

Name:	Jared S Polis
Office Sought:	Governor

LIABILITIES:

List the name of each creditor for you, your spouse, or minor children living with you to whom is owed an amount in excess of \$1000 including the interest rate.

Name of Creditor	Interest Rate (%)	Person Liable for Debt
United Mileage Visa Chase	14.24%	Self
Wells Fargo, CO	3%	Self
Wells Fargo VISA Credit Cards	0% automatically paid monthly	Self

List all offices, directorships and fiduciary relationships held by you, your spouse, or minor children residing with you.

Name of Organization or Trust	Position Held	Person Holding the Position
Jove Equity Fund I LP	Limited Partner	Self
Jove Venture Fund I LP	Limited Partner	Self
Jove Equity Partners LLC	Member	Self
Jove Equity Associates LLC	Member	Self
Jovian Capital Holdings LLC	Managing Member	Self
Jovian Management Company Holdings LLC	Managing Member	Self
Jovian Holdings LLC	Managing Member	Self
Jovian Holdings Inc.	Director (unpaid)	Self
Jovian P4 LLC	Managing Member	Self
LI Emerging Technologies Fund I LP	Limited Partner	Self
Ashford Properties SE, LLC	Managing Member	Self
Mountain Property Improvement LLC	Managing Member	Self
Mountain Property II LLC	Managing Member	Self
Pine 3-J Trust	Domestic Beneficiary	Self
Polis-Schutz Family Foundation	Board Member (unpaid)	Self
The Community Foundation: Jared Polis Foundation Fund; Venture Gift Fund	Donor-Advised Fund Donor	Self
Deutsche Banc Special Opportunities Fund	Limited Partner	Self
Centre Capital Investors III LP	Limited Partner	Self
Scael LLC	Member	Self
Gunpark Property Limited PS LLLP	Limited Partner	Self

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William Blair New World Ventures LP	Limited Partner	Self
Appian Ventures I LP	Limited Partner	Self
Bow River Capital Fund II LP	Limited Partner	Self
Bow River Capital Fund IV LP	Limited Partner	Self
Greenmont Capital Partners I LP	Limited Partner	Self
GS Mezzanine Partners 2006 LP	Limited Partner	Self
Kettle Partners Limited Partnership II	Limited Partner	Self
K-New York Trust	Domestic Beneficiary	Self
Baird Capital Partners IV Limited Partnership	Limited Partner	Self
PSI PHI Communications LLC	Member	Self
K-Colorado LLC	Member	Self

List the name of any person, firm, or organization that retains a person to lobby on its behalf if you share directly or indirectly in the compensation received for lobbying activity.

Name of Person, Firm, Corporation, or Organization Retaining Lobbyist

List any business with which you or your spouse is associated, and which does business with, or is regulated by, the State of Colorado (e.g., attorney, real estate, medical profession, etc.)

Name of Business:	Nature of Business:	Person Involved:

Signature: Jared S. Polis	Date: 6/19/17
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Name:	Jared S Polis
Office Sought:	Governor

INCUMBENTS
(FOR ANNUAL UPDATE PURPOSES ONLY)

As an alternative to items 1 through 7, you may file a copy of your federal income tax return, plus a certified statement of any investments not reflected in your income tax return. Please note that any information required on this form and not included in a federal income tax return must still be provided.

PERSONAL FINANCIAL DISCLOSURE STATEMENT

Name of official Jared S Polis
Office Held/ Sought Governor

Income:

Source of Income (Name of Employer) Recipient of Income (individual receiving income - Self, Spouse, Minor Children)

Source of Income (Name of Employer)	Recipient of Income (individual receiving income - Self, Spouse, Minor Children)
Robert W. Baird & Co Incorporated Accounts:	
General Muni Money Market	Self
Baird Capital Partners IV LP *	Self
Citi - Roth IRA Accounts	
Bank Deposit Program	Self
Ishares RS 2000 Growth	Self
Jared Polis Qualified Blind Trust	
Congressional Federal Credit Union Checking	Self
Gunpark Property Limited Partnership*	Self
K-Colorado LLC*	Self
K-New York Trust	Self
Pine 3-J Trust*	Self
Mountain Property Improvement LLC	
Jovian Holdings LLC: - See assets listed below	Self
Goldman Sachs	
Bank Deposit Account	Jovian Holdings LLC
GS Mezzanine Partners 2006. LP*	Jovian Holdings LLC
Citi-Reg U Brokerage	
Bank Deposit Program	Jovian Holdings LLC
Ipath Bloomberg Agric Subidx	Jovian Holdings LLC
Ishares MSCI Brazil ETF Capped	Jovian Holdings LLC
Ishares MSCI Chile ETF Capped	Jovian Holdings LLC
Ishares MSCI India ETF	Jovian Holdings LLC
Ishares MSCI Mexico ETF Capped	Jovian Holdings LLC
Ishares MSCI Spain ETF Capped	Jovian Holdings LLC
Ishares Russell 3000 ETF	Jovian Holdings LLC
Powershares DB Agric Fund ETF	Jovian Holdings LLC
Proshares Ultrashort 20+ Yr	Jovian Holdings LLC
Proshare Ultrashort 7-10 Yr	Jovian Holdings LLC
SPDR S&P Emerging Latin AM ETF	Jovian Holdings LLC
Powershares QQQ Tr Units	Jovian Holdings LLC
Bow River Capital Fund II LP*	
Bow River Capital Fund IV LP*	
Jovian Capital Holdings LLC - Assets Listed below	
Jove Equity Fund I LP	Jovian Capital Holdings LLC
Jove Venture Fund I LP	
Jove Equity Partners LLC	Jovian Capital Holdings LLC
Jove Equity Associates LLC	
Jove Equity Partners LLC	Jovian Capital Holdings LLC
Jove Equity Associates LLC	Jovian Capital Holdings LLC